



57
COPY OF PAPERS
ORIGINALLY FILED

T 114
BOX 5E &
PATENT
OKL01000727A
Customer No.24,118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Broyles et al.)
SERIAL NO: 10/003,669)
FILED: 11/01/01)
FOR: GENE REGULATION THERAPY)
INVOLVING FERRITIN)
ART UNIT:)
EXAMINER:)

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Assistant Director of Patents
Washington, D.C. 20231

Dear Sir:

As requested in the Notice To File Missing Parts of Nonprovisional Application dated January 15, 2002, please find enclosed a Declaration and Power of Attorney executed by the inventors, Robert H. Broyles and Robert A. Floyd, a Statement Regarding The Sequence Listing, and The Sequence Listing (1 sheet and a diskette with the Sequence Listing in ASCII format).

Also enclosed is a copy of the Notice To File Missing Parts of Nonprovisional Application.

DISK TO STIC

DATE:



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Director of Patents, Washington, D.C. 20231, on March 15, 2002.

James Harman

A check in the amount of \$65 is enclosed for payment of the surcharge in compliance with 37 CFR 1.27.

Any additional fees, charges, or credits may be charged to the deposit account of the undersigned, Account No. 08/1500, unless otherwise notified.

Respectfully submitted,

By 
Mark G. Kachigian Reg. No. 32,840
HEAD, JOHNSON & KACHIGIAN
228 West 17th Place
Tulsa, Oklahoma 74119
Telephone (918) 587-2000

Date: March 15, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,669	11/01/2001	Robert H. Broyles	OKL010-107/00727

Head, Johnson & Kachigian
228 West 17th Place
Tulsa, OK 74119

COPY OF PAPER
ORIGINALLY FILED

CONFIRMATION NO. 5327

FORMALITIES LETTER



OC000000007312642

Date Mailed: 01/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

03/27/2002 NROCHA1 00000095 10003669

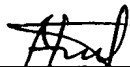
01 FC:205

65.00 0P

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE